SEC Form 4

**FORM 4**

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

| OMB APPROVAL | |
|---|---|
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☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

☐ Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

1. Name and Address of Reporting Person*
WALSH COLIN
(Last) (First) (Middle)
C/O GOLDMAN SACHS GROUP INC.
200 WEST STREET
(Street)
NEW YORK NY 10282
(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol
Attovia Therapeutics, Inc. [ ATTO ]
Foreign Trading Symbol

3. Date of Earliest Transaction (Month/Day/Year)
08/05/2026

4. If Amendment, Date of Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
☑ Director
☐ 10% Owner
☐ Officer (give title below)
☐ Other (specify below)

6. Individual or Joint/Group Filing (Check Applicable Line)
☑ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock | 08/05/2026 | | P | | 85,000 | A | $21 | 85,000 | I | See Footnotes(1)(2)(3) |
| Common Stock | 08/05/2026 | | S | | 988 | D | $22.03 | 84,012 | I | See Footnotes(1)(2)(3) |
| Common Stock | 08/05/2026 | | S | | 447 | D | $21.87 | 83,565 | I | See Footnotes(1)(2)(3) |
| Common Stock | 08/05/2026 | | S | | 1,332 | D | $22.03 | 82,233 | I | See Footnotes(1)(2)(3) |
| Common Stock | 08/05/2026 | | S | | 200 | D | $22.05 | 82,033 | I | See Footnotes(1)(2)(3) |
| Common Stock | 08/05/2026 | | S | | 1,556 | D | $22.06 | 80,477 | I | See Footnotes(1)(2)(3) |
| Common Stock | 08/05/2026 | | S | | 3,402 | D | $22.02 | 77,075 | I | See Footnotes(1)(2)(3) |
| Common Stock | 08/05/2026 | | S | | 200 | D | $22.1 | 76,875 | I | See Footnotes(1)(2)(3) |
| Common Stock | 08/05/2026 | | S | | 480 | D | $21.55 | 76,395 | I | See Footnotes(1)(2)(3) |
| Common Stock | 08/05/2026 | | S | | 100 | D | $22.11 | 76,295 | I | See Footnotes(1)(2)(3) |
| Common Stock | 08/05/2026 | | S | | 753 | D | $21.97 | 75,542 | I | See Footnotes(1)(2)(3) |
| Common Stock | 08/05/2026 | | S | | 672 | D | $22.02 | 74,870 | I | See Footnotes(1)(2)(3) |
| Common Stock | 08/05/2026 | | S | | 6,970 | D | $22.03 | 67,900 | I | See Footnotes(1)(2)(3) |
| Common Stock | 08/05/2026 | | S | | 400 | D | $22.02 | 67,500 | I | See Footnotes(1)(2)(3) |
| Common Stock | 08/05/2026 | | S | | 1,180 | D | $21.84 | 66,320 | I | See Footnotes(1)(2)(3) |
| Common Stock | 08/05/2026 | | S | | 1,040 | D | $22.05 | 65,280 | I | See Footnotes(1)(2)(3) |
| Common Stock | 08/05/2026 | | S | | 300 | D | $20.84 | 64,980 | I | See Footnotes(1)(2)(3) |
| Common Stock | 08/05/2026 | | S | | 443 | D | $21.78 | 64,537 | I | See Footnotes(1)(2)(3) |
| Common Stock | 08/05/2026 | | S | | 200 | D | $22.05 | 64,337 | I | See Footnotes(1)(2)(3) |
| Common Stock | 08/05/2026 | | S | | 700 | D | $22.07 | 63,637 | I | See Footnotes(1)(2)(3) |
| Common Stock | 08/05/2026 | | S | | 1,163 | D | $22.19 | 62,474 | I | See Footnotes(1)(2)(3) |
| Common Stock | 08/05/2026 | | S | | 100 | D | $21.99 | 62,374 | I | See Footnotes(1)(2)(3) |
| Common Stock | 08/05/2026 | | S | | 6,763 | D | $21.61 | 55,611 | I | See Footnotes(1)(2)(3) |

| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock | 08/05/2026 | | S | | 76,418 | D | $21.04 | 0 | I | See Footnotes[1][2][3] |
| Common Stock | 08/06/2026 | | C | | 1,957,134[4][5] | A | $0 | 2,042,134[4] | I | See Footnotes[1][6][7][8] |
| Common Stock | 08/06/2026 | | C | | 478,498[4][5] | A | $0 | 2,520,632[4] | I | See Footnotes[1][6][7][8] |
| Common Stock | 08/06/2026 | | P | | 500,000 | A | $17 | 3,020,632[4] | I | See Footnotes[1][2] |

| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Series B Preferred Stock | [4] | 08/06/2026 | | C | | | 18,181,830[5] | [5] | [5] | Common Stock | 1,957,134[4][5] | $0 | 0 | I | See Footnote[1][6][7][8] |
| Series C Preferred Stock | [4] | 08/06/2026 | | C | | | 4,445,275[5] | [5] | [5] | Common Stock | 478,498[4][5] | $0 | 0 | I | See Footnote[1][6][7][8] |

**Explanation of Responses:**

1. The Reporting Person disclaims beneficial ownership of the securities reported herein except to the extent of the Reporting Person's pecuniary interest therein, if any, and this report shall not be deemed an admission that any such Reporting Person is the beneficial owner of, or has any pecuniary interest in, such securities for purposes of Section 16 of the Exchange Act, or for any other purpose.

2. These transactions in the common stock of the Issuer were effected by Goldman Sachs & Co. LLC ("GS&Co") acting as a market maker in the ordinary course of business. Without conceding market making trades in the ordinary course of business can result in liability under Section 16(b) of the Exchange Act, the amount of profit potentially recoverable by the Issuer from the reported transactions in the event that they were subject to Section 16(b) will be remitted to the Issuer.

3. GS&Co's transactions in the Issuer's common stock on August 5, 2026 consisted of purchases of a total of 85,000 shares and sales of a total of 105,807 shares.

4. The previous report on Form 3 reflected an administrative error with respect to the number of shares of common stock issuable upon conversion of the Preferred Stock, which is corrected in this Form 4.

5. Each share of Series B Preferred Stock and Series C Preferred Stock (the "Preferred Stock") automatically converted into shares of the Issuer's common stock on a 9.29-for-1 basis upon the closing of the Issuer's initial public offering (the "IPO") on August 6, 2026 without payment of consideration. The Preferred Stock has no expiration date.

6. The Reporting Person is a managing director of GS&Co, a subsidiary of The Goldman Sachs Group Inc. ("GS Group"). GS Group is the direct owner of GS&Co and an indirect owner of Goldman Sachs Asset Management, L.P. ("GSAM LP"). GS&Co is the manager of Broad Street Principal Investments L.L.C. ("BSPI") which directly holds 96,190 shares of common stock purchased in the IPO and 468,565 shares of common stock converted from 3,497,806 shares of Series B Preferred Stock and 855,179 shares of Series C Preferred Stock of the Issuer. GSAM LP is the investment manager of WSLS Offshore Investments, SLP ("WSLS Offshore") which directly holds 131,283 shares of common stock purchased in the IPO and 639,518 shares of common stock converted from 4,773,948 shares of Series B Preferred Stock and 1,167,182 shares of Series C Preferred Stock of the Issuer,... (continued in footnote 7)

7. (continued from footnote 6)... West Street Life Sciences I, L.P. ("WSLS I") which directly holds 137,708 shares of common stock purchased in the IPO and 670,812 shares of common stock converted from 5,007,564 shares of Series B Preferred Stock and 1,224,299 shares of Series C Preferred Stock of the Issuer, WSLS Emp Offshore Investments, L.P. ("WSLS Emp Offshore") which directly holds 36,927 shares of common stock purchased in the IPO and 179,879 shares of common stock converted from 1,342,794 shares of Series B Preferred Stock and 328,300 shares of Series C Preferred Stock of the Issuer, and WSLS Emp Onshore Investments, L.P. ("WSLS Emp Onshore") which directly holds 97,892 shares of common stock purchased in the IPO and 476,858 shares of common stock converted from 3,559,718 shares of Series B Preferred Stock and 870,315 shares of Series C Preferred Stock of the Issuer,... (continued in footnote 8)

8. (continued from footnote 7)... GS&Co is a direct subsidiary of GS Group and is the manager of BSPI. WSLS Offshore, WSLS I, WSLS Emp Offshore, and WSLS Emp Onshore are investment vehicles managed by GSAM LP, an indirect subsidiary of GS Group.

**Remarks:**

| | |
|---|---|
| /s/ Crystal Orgill, Attorney-in-fact | 08/13/2026 |
| ** Signature of Reporting Person | Date |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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